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Place, Date	March 5, 2003
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Patent Information (desired but not required)

The US patents, identified in Table 1, in IBM's evaluation, appear to be relevant, may be essential, and could be facilitating to the JVT specification as of the "Klagenfurt July 2002 – ISO/IEC FCD 14496 – 10 (WG11 N4920); JVT-D 157" revisions. (Only the US Patents are listed and there may be corresponding patents in one or more other jurisdictions.)

Table 1: IBM Relevant Patents based on Klagenfurt Specification

Patent Number <u>US5099440</u> <u>US4725885</u>	Inventors William B. Pennebaker Joan L. Mitchell Cesar A. Gonzales Joan L. Mitchell	Title Probability adaptation for arithmetic coders Adaptive graylevel image compression system		Relevance to JVT Main Profile – Arithmetic Coder Baseline/Main Profile
<u>US463349</u> 0	William B. Pennebaker Gerald Goertzel Joan L. Mitchell	Symmetrical optimized adaptive data compression/transfer/dec	IBM	Main Profile Arithmetic Coder
<u>U\$5001559</u>	Cesar A. Gonzales Thomas McCarthy	ompression system	ІВМ	Baseline/Main Profile
<u>US4749983</u>	Glen G. Langdon, Jr.	A		Main Profile – Arithmetic Coder

The US patents identified in Table 2, in IBM's evaluation, are in the general area of the JVT Baseline / Main Profiles {Klagenfurt July 2002 – ISO/IEC FCD 14496 – 10 (WG11 N4920); JVT-D 157} and may be applicable to future JVT revisions or support future JVT specification implementations. . (Only the US Patents are listed and there may be corresponding patents in one or more other jurisdictions.)

Table 2: Other IBM Patents

Patent Number	Inventors	Title	Comments
<u>US4933883</u>	William B. Pennebaker Joan L. Mitchell	Probability adaptation for arithmetic coders	
<u>US4905297</u>	Glen G. Langdon, Jr. Joan L. Mitchell William B. Pennebaker Jorma J. Rissanen	Arithmetic coding encoder and decoder system	
<u>US4935882</u>		Probability adaptation for arithmetic coders	
<u>US4652856</u>		Multiplication-free multi- alphabet arithmetic code	
<u>US4891643</u>	Joan L. Mitchell William B. Pennebaker	Arithmetic coding data compression/de-compression/ by selectively employed, diverse arithmetic coding encoders and decoders	
<u>US4467317</u>	Glen G. Langdon, Jr. Jorma J. Rissanen	High-speed arithmetic compression coding using concurrent value updating	Expired in US – counterparts may still
<u>US4463342</u>	Glen G. Langdon, Jr, Jorma J. Rissanen	Method and means for carry- over control in the high order to low order pairwise combining of digits of a decodable set of relatively shifted finite number strings	be in force. Expired in US — counterparts may still be in force.
<u>US5859604</u>	Michael John Slattery Joan LaVerne Mitchell	Merged VLSI implementation of hardware optimized Q-Coder and software optimized QM-Coder	
<u>US6091854</u>	Michael John Slattery Joan LaVerne Mitchell	Merged VLSI implementation of hardware optimized Q-Coder and software optimized	
<u>US5384598</u>	Arturo A. Rodriguez Charles T. Rutherfoord Steven M. Hancock Robert F. Kantner, Jr. Mark A. Pietras	QM-Coder System and method for frame differencing video compression and decompression with frame rate scalability	
<u>US5157488</u>	William B. Pennebaker	Adaptive quantization within the JPEG sequential mode	